

ISSN: 0256-307X

中国物理快报 Chinese Physics Letters

Volume 27 Number 1 January 2010

A Series Journal of the Chinese Physical Society
Distributed by IOP Publishing

Online: <http://www.iop.org/journals/cpl>
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CHINESE PHYSICAL SOCIETY

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Ion Velocity Distributions in a Non-Stationary Perpendicular Shock *

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(Received 24 August 2009)

Previous particle-in-cell simulations have evidenced that supercritical, quasi-perpendicular shocks are non-stationary. By separating the incident ions into reflected (R) and directly transmitted (DT) parts, we investigate the ion distributions in a non-stationary perpendicular shock. The upstream ion distributions have two parts corresponding to the R and incident ions respectively, while the R ions have higher energy. The downstream ions have a core-ring distribution. The core and ring parts correspond to the DT and R ions, respectively. The ion distributions depend largely on the non-stationary shock structure. The percentage of the reflected ions cyclically varies in time with a period equal to the shock self-reformation cycle, and the number of the R ions increases with the steepness of the shock ramp.

PACS: 96.50.Fm, 94.05.Pt, 96.50.Ry

Collisionless shocks are of great interest since in the shock transition the bulk energy of the plasma is converted irreversibly into thermal energy.^[1,2] According to θ_{nB} (the angle between the shock normal and upstream magnetic field), shocks can be separated into two groups: quasi-parallel shocks ($\theta_{nB} < 45^\circ$) and quasi-perpendicular shocks ($\theta_{nB} > 45^\circ$). Many theoretical and numerical studies have indicated that the dissipation in subcritical (low Mach number), quasi-perpendicular shocks is provided by resistive heating. This heating is associated with the strong electron currents required to produce the steep gradients in the magnetic field across the shock front.^[3] However, in supercritical (high Mach number), quasi-perpendicular shocks, an additional dissipation mechanism is needed to satisfy the Rankine-Hugoniot conditions across the shock, since dissipation provided by resistivity is not sufficient. Ion reflection is considered to be the dominant dissipation mechanism in supercritical, quasi-perpendicular shocks.^[4]

Ion reflection in supercritical, quasi-perpendicular shocks has been confirmed by spacecraft observations^[5] and hybrid simulations.^[6] The reflected ions gyrate back to the shock immediately, and then across the shock directly. During this process, the reflected ions gain a larger kinetic perpendicular temperature than the parallel one, and excite ion cyclotron waves downstream of the shock.^[7,8] In this way, ion reflection provides the dominant dissipation at supercritical, quasi-perpendicular shocks. Whether a particle becomes reflected depends on its energy in the upstream frame and its gyro-phase as it encounters the shock.^[9] Furthermore, effects of the angle θ_{nB} , the ratio of the ion thermal velocity to the flow velocity upstream, and the cross-shock potential on distributions of reflected ions in a stationary quasi-perpendicular shock are presented by Gedalin *et al.*^[10]

They concluded that a typical distribution of reflected ions exhibits a bimodal pitch angle distribution in the upstream. However, the shock profiles they used were not obtained self-consistently.

Particle-in-cell simulations clearly evidenced that supercritical, quasi-perpendicular shocks are non-stationary and suffer a self-reformation on the gyro scale of the incident ions due to the accumulation of reflected ions (foot formation).^[11,12] More precisely, the cyclic period of this process is of the order of ion gyro-period calculated from the average magnetic field measured in the middle of the ramp as measured by Lembge and Savoini.^[13] Recently, the shock front reformation phenomenon is also confirmed in hybrid simulations with a smaller grid size^[14] and Cluster spacecraft observations.^[15] In this letter, a particle-in-cell (PIC) code is used to investigate the ion distributions upstream and downstream in a supercritical, perpendicular shock.

In this study, self-consistent perpendicular shock profiles are obtained from a 1-D PIC simulation. The initial and boundary conditions are identical to those already described in detail by Lembège and Savoini,^[13] the shock is initiated by a magnetic piston (utilized current pulse). Briefly, the shock geometry is defined in the upstream frame: the shock propagates along the x axis and a static magnetic field is applied along the z axis. All dimensionless quantities are indicated by a tilde and are normalized as follows. The spatial coordinate is $\tilde{x} = x/\Delta$; velocity $\tilde{v} = v/\omega_{pe}\Delta$; time $\tilde{t} = \omega_{pe}t$, electric field $\tilde{E} = eE/m_e\omega_{pe}^2\Delta$; magnetic field $\tilde{B} = eB/m_e\omega_{pe}^2\Delta$. The parameters Δ , ω_{pe} , m_e and e are, respectively, the numerical grid size, the electron plasma frequency, the electron mass and the electric charge. All basic parameters are identical to those employed by Hada *et al.*,^[11] i.e. plasma box size length $L_x = 4096$, velocity of light $\tilde{c} = 3$,

*Supported by the National Natural Science Foundation of China under Grant Nos 40874075, 40725013 and 4094093, and the Knowledge Innovation Project of Chinese Academy of Sciences under Grant No KJCX2-YW-N28.

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and mass ratio $m_i/m_e = 84$. Initially, the particle density is $n_e = n_i = 50$ at each grid point. The electron/ion temperature ratio $T_e/T_i = 1.58$ is chosen. The ambient magnetic field is $|\tilde{B}_0| = 1.5$. The shock front is propagating in a supercritical regime with the average Mach number about ($M_A = 5.24$), where $M_A = \tilde{V}_{\text{shock}}/\tilde{V}_A$ is determined in the upstream frame; the Alfvén velocity \tilde{V}_A equals to 0.16 and the shock propagating speed \tilde{V}_{shock} is the moving speed of the shock ramp. The shock ramp is defined as the position with peak $\partial\tilde{B}_z^2/\partial x$. For these initial conditions, the plasma parameters are summarized in Table 1 for both electrons and ions. The Larmor gyro radius in the table is calculated based on thermal velocity.

Table 1. Upstream plasma parameters defined for PIC simulations.

		Electrons	Ions
Thermal velocity	$\tilde{V}_{th,x,y,z}$	0.2	0.017
Debye length	λ_D	0.2	0.16
Larmor gyro radius	$\tilde{\rho}_c$	0.4	2.91
Inertia length	$\tilde{c}/\tilde{\omega}_p$	3.0	27.5
Gyro frequency	$\tilde{\omega}_c$	0.5	0.006
Plasma frequency	$\tilde{\omega}_p$	1.0	0.11
Gyro period	$\tilde{\tau}_c$	12.55	1055.46
Plasma beta	$\tilde{\beta}$	0.0355	0.0225

Figure 1 shows the time evolution of the magnetic field B_z from $\tilde{t} = 1200$ to 2064. The shock is propagating from the left to the right. At about $\tilde{t} = 1200$, the shock front is at about $\tilde{X} = 5070$. Later at about $\tilde{t} = 1350$, the relatively high percentage of reflected ions has accumulated in the foot so that the foot amplitude becomes sufficiently large and comparable to that of the “old” ramp. Then, a “new” shock ramp builds up and starts reflecting new incoming ions. The “new” shock front is well formed around $\tilde{X} = 5320$ at about $\tilde{t} = 1490$. Simultaneously, the “old” shock front becomes weaker and weaker, and is located downstream of the “new” front. The shock front is characterized by a self-reformation with a cyclic period about $288\omega_{pe}^{-1} \approx 1.73\Omega_{ci}^{-1}$. It is found that oblique shock waves can possess a linear whistler precursor. In a supercritical quasi-perpendicular shock, the ramp region can be treated as a nonlinear large-amplitude whistler wave. Because the dispersion cannot prevent steepening of the shock front due to nonlinear effects, the stationary nonlinear whistler wave train cannot exist anymore within the shock front. Therefore, the shock wave becomes nonstationary, and a self-reformation occurs.^[16]

We investigate ion distributions in detail in this non-stationary perpendicular shock. The ion distributions at three different regions R-1, R-2 and R-3, which represent upstream region, immediate downstream region and far downstream region, respectively, are studied. The width of each region is 100, and the boundary between R-1 and R-2 is the ramp. We investigate the ion distributions in two regimes: one is a fixed shock regime, where three typical shock profiles are selected, and we study the ion distributions in

such fixed profiles. The other is time-evolving shock regime, where the shock is reforming, and the ion distributions are investigated in such a non-stationary shock. In both regimes, we separate the upstream ions into two groups: the reflected (R) ions and directly transmitted (DT) ions. The reflected ions have the following characteristics: after being reflected, (1) their velocity in the x direction \tilde{v}_{ix} is larger than the shock propagating speed \tilde{V}_{shock} , and (2) they are located upstream of the ramp $\tilde{x}_i > \tilde{x}_{\text{ramp}}$. The separation technology is identical to those already successfully used by Yang *et al.*^[17]

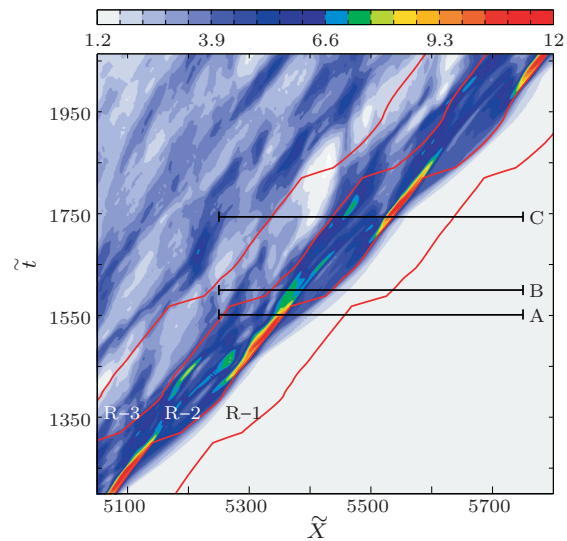


Fig. 1. The time evolution of \tilde{B}_z . Labels A, B, C indicate the shock profiles. The four red curves indicate the moving observation windows, which are denominated as R-1, R-2 and R-3 from the right to the left.

In the fixed shock regime, three typical shock profiles (A, B and C) are selected from one self-reformation cycle (from $\tilde{t} = 1456$ to 1744), as shown in Fig. 2. Profile A, B and C represent the snapshots of \tilde{B}_z , \tilde{E}_y and \tilde{E}_x at $\tilde{t} = 1552$, 1600 and 1744, respectively. In profile A, the shock front includes a ramp and a foot in front of the ramp, and the position of the ramp is denoted by O (old ramp). In profile B, the amplitude of the ramp O has decreased. Simultaneously, the foot amplitude increases and reaches a value at least equal to 50% of that of the ramp O and becomes a new ramp N. In profile C, the amplitude of the new ramp has already overcome the old one. For profiles B and C, the reference ramp used herein is the main new ramp (denoted by N), which has larger \tilde{E}_x than the old ramp (denoted by O). The reference ramps of profiles A, B and C are respectively at $\tilde{X} = 5359$, 5436, and 5537, and their corresponding “instantaneous” propagating speeds along the x direction are $5.47\tilde{V}_A$, $6.25\tilde{V}_A$ and $3.91\tilde{V}_A$. Their corresponding shock front widths are about $\tilde{\delta} = 70$, 98, and 42. The width of the shock front is measured from the beginning of the foot to the maximum point of the magnetic overshoot,^[18] and the start of the foot is defined operationally as the location

where the magnetic field has increased by 6.67% over its upstream value.^[9] The ion distributions are studied with a test particle simulation. The test particle simulations are carried out as follows: 126000 test particle ions drawn from a Maxwellian distribution with thermal speed $\tilde{V}_{thx,y,z} = 0.017$ are distributed evenly in the far upstream region $100 < \tilde{x}_i - \tilde{x}_{\text{ramp}} < 2620$, where \tilde{x}_i is the initial position of ion i , and \tilde{x}_{ramp} is the initial position of the shock ramp. The width of the upstream region filled with test particles is sufficiently large (approximately $92\tilde{c}/\tilde{\omega}_{pi}$, where $\tilde{\omega}_{pi}$ is the ion plasma frequency). Test particles cease to be followed when swept out of the computational boundaries.

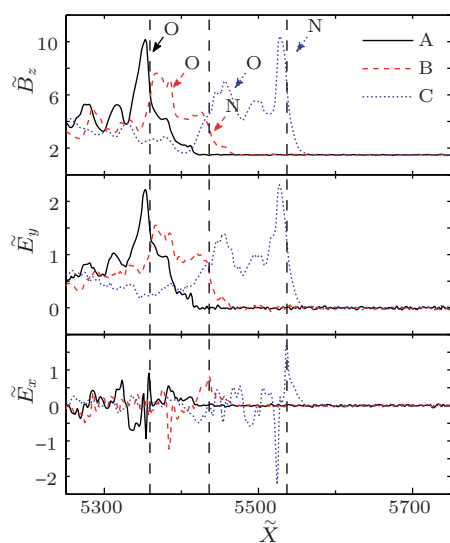


Fig. 2. Plots of the shock profiles at three typical times. “O” and “N” denote positions of the old and new ramps during one reforming cycle, respectively (line A: $\tilde{t} = 1552$, line B: $\tilde{t} = 1600$, line C: $\tilde{t} = 1744$). The reference ramp location is marked by the dashed line for each profile.

Figure 3 shows the ion distributions from upstream to downstream (i.e. from R-1 to R-3) at shock profile A (panel a), B (panel b), and C (panel c). Correspondingly, sketch of \tilde{B}_z of each profile are shown for reference (blue curves). At profile A, the black dots in R-1 indicate the incident ions which have not yet reached the ramp, and the red dots indicate the reflected ions. In R-2, the black dots stand for the DT ions, while the red dots stand for the R ions which return to the shock again and succeed to transmit downstream. In R-3, there is a small change in the distribution of R and DT ions due to the electrostatic drift. The energy of the R ions is always larger than that of the DT ones. At profile B, the amplitude of overshoot decreases and the shock front becomes very broad. The foot is growing up but is not sufficiently high, and there is no R ion in R-1. In R-2, the DT ions form a ring distribution due to the reflection of the old ramp. In R-3, the ion distribution changes due to the electrostatic drift.

At profile C, a steepened new ramp has completely

built up and the overshoot reaches the maximum amplitude. In R-1, all of the ions are reflected to upstream (marked by I). A fraction of them are reflected twice (marked by II), and accelerated to higher energy. In R-2, a thick ring distribution is formed by those R ions after they return to the shock and transmit to downstream. In the region, the incident ions (black dots) will return to upstream, and then transmit to downstream again. In R-3, the distribution of the R ions still has a thick ring. Obviously, the ion distributions can be clearly explained by the results in fixed shock regime described above.

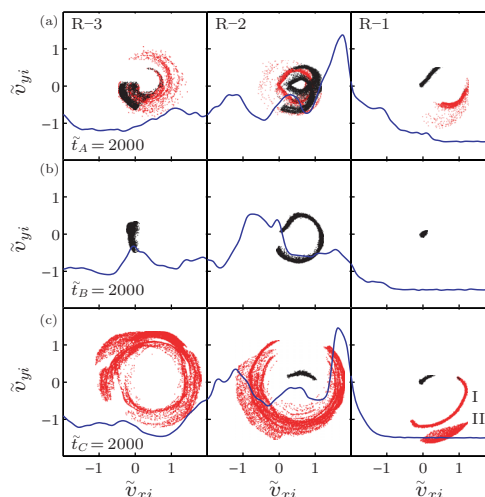


Fig. 3. The ion distributions at shock profile A (a), B (b) and C (c). The red dots represent the reflected ions, and the others ions are marked in black. Left, middle and right column exhibit the ions observed in R-3, R-2 and R-1 observation windows respectively. Corresponding, sketch of the \tilde{B}_z of each profile are shown for reference (blue curves). Here \tilde{t}_A , \tilde{t}_B and \tilde{t}_C are measured from the time when profile A, B and C are chosen, respectively. I and II indicate the R ions, which are reflected once and twice.

In the time-evolving shock regime, we investigate ion distribution when the shock is non-stationary as shown in Fig. 1. 126000 particle ions drawn from a Maxwellian distribution with thermal speed $\tilde{V}_{thx,y,z} = 0.017$ are distributed evenly in the far upstream region $100 < \tilde{x}_i - \tilde{x}_{\text{ramp}} < 2620$ at a chosen time $\tilde{t} = 628$. In the simulation, the width of the upstream region filled with particles is sufficiently large, and it takes about 5 shock reformation cycles to advect those particles through the shock.

Figure 4 shows a series of snapshots of ion distributions from upstream to downstream (i.e. from R-1 to R-3) at different times within one cycle of shock front self-reformation. Correspondingly, the sketch of the \tilde{B}_z are shown for reference (blue curves). Firstly, we concentrate on the ion distributions in R-1 from panel (a) to (f). During the time $\tilde{t} = 1492$ –1524, the shape of shock profile are evolving from type C (similar to profile C) to type A (similar to profile A). A fraction of incident ions are reflected (marked by I). At $\tilde{t} = 1524$, some of the R ions are reflected twice, and form a “S” shape in velocity space. Later on ($\tilde{t} = 1580$

and 1660), the shock profile is of type B (similar to profile B). The number of R ions become less and less because the shock front is too broad to reflect many incident ions. At $\tilde{t} = 1712$, a new ramp of the shock increases and begins to reflect the incident ions again. Therefore there are two groups of R ions in R-1 at this moment: R ions reflected by the old ramp (marked by I), and R ions reflected by the new ramp (marked by II). At $\tilde{t} = 1748$, the new shock front is well formed. The shape of shock profile is of type C (similar to profile C) again. Large numbers of R ions appear in the R-1 again. Obviously, the R ions upstream of the non-stationary shock are intermittent because the shock front is reforming periodically. Then, we focus on the ion distributions in R-2 from panel (a) to (f). From $\tilde{t} = 1580$ to 1748, the R and DT ions are captured by the decreased old ramp and the increased new ramp. During this time, the R ions become more diffuse and gradually form a ring distribution. Finally, the ions in R-3 have a ring-core distribution. The ring is formed by the R ions, while the core is formed by the DT ions.

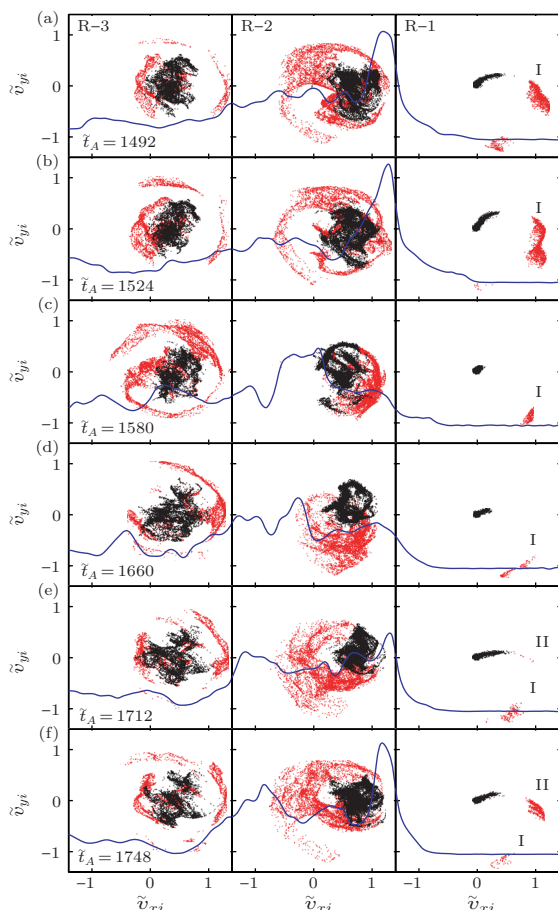


Fig. 4. Snapshots of the ion distributions at different moment within a shock front reformation cycle (a–f). The red dots represent the reflected ions, and the others ions are marked in black. Left, middle and right column exhibit the ions observed in R-3, R-2 and R-1 observation windows respectively. Correspondingly, sketch of the \tilde{B}_z of each profile are shown for reference (blue curves). I and II indicate the R ions, which are reflected by the old and new ramps, respectively.

Reflected ions are an important signature of supercritical, quasi-perpendicular shocks. In this Letter, we investigate the effects of shock front non-stationarity on ion distributions upstream and downstream. We have the following conclusions: Our calculations clearly show that the non-stationary shock front significantly affects the ion distributions upstream. The shock reformation leads to a periodic response of ion reflection. The number of reflected ions increases when the shock front is steep and narrow, and vice versa. Moreover, some of the incident ions are reflected twice when the shock ramp is steep. In the downstream, ions has a ring-core distribution. The ring is formed by the R ions, where the core is formed by the DT ions. Such a ring distribution may be unstable to ion cyclotron waves propagating along the background magnetic field. This effect need to be resolved in a two-dimensional simulation, and is beyond the scope of this letter. The bulk velocities of R and DT ions are nearly the same, and the energy of the R ions is higher than that of the DT ions.

The above conclusions imply that a non-stationary shock front should lead to temporal variations in reflected ions of a quasi-perpendicular shock, and may influence the dissipation of the shock. For example, in the immediate downstream, some of the reflected ions may be captured by the decreased old ramp and the increased new ramp of the shock front and stay for a long time, which may lead to an additional dissipation in the supercritical, quasi-perpendicular shocks.

We thank Dr. Bertrand Lembge for the useful discussions.

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